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### ASSESSMENT OF STABILITY OF MOSUL DAM

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### STATIC AND DYNAMIC STABILITY OF MOSUL DAM

The goal of the study is to check the stability of critical sections of Mosul Dam and is made of two parts,

Part 1, Finished study for slope stability analysis performed using:

- 1. Ordinary method of slices (The Swedish or Fellenius method)
- 2. Simplified Bishop Method

And the methods applied for static conditions and seismic conditions using the pseudo static analysis with earthquake acceleration in horizontal and vertical seperately.

Part 2, On going study for slope stability analysis using *Slide2* software which includes in addition to the two methods above other methods,

- 1. Janbu simplified method
- 2. Janbu corrected method
- 3. Spencer method
- 4. Corps of Engineers methods #1 and #2
- 5. GLE Morgenstern-Price method General Limit Equilibrium
- 6. Sarma vertical slices method

And there are other methods which are not tried in the study.

In *Slide2* The seismic coefficients may be applied simultaneously in both directions as well.



# GENERALIZED EQUATION FOR CIRCULAR SLICES METHOD

Ordinary method of slices

for each slice i b = width of the vertical slice $\beta = angle \ of \ slice \ from \ horizontal + ve \ CCW$  $\alpha$  = angle of secant at slice bottom surface from horizontal + ve CCW W = weight of the slice $k = seismic \ coefficient$ p = pressure on surface of the slide $V_f = vertical resultant of p = pb$  $H_f = horizontal resultant of p = pb \tan \beta$ U = resultant of pore water pressure at bottomm of slice $\overline{N}$  = normal effective force on on bottom of slice T = shear force at bottom of sliceR = radius of failure circleO = origin of circle defined by coordinates $y_s = vertical distance from the origin to the center of the surface of the slice$ 



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$$M_D = \{W \sin \alpha + V_f \sin \alpha + 0.5kW \cos \alpha + y_s/R(0.5kW - H_f)\}R$$
  

$$M_R = \{c'b/\cos \alpha + (W \cos \alpha - kW \sin \alpha + H_f \sin \alpha - U) \tan \varphi'\}R$$

$$factor of safety$$
$$F_{s} = \frac{\sum M_{R}}{\sum M_{D}}$$

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While in simplified Bishop method  $\overline{N}$  is found by  $\sum F_y = 0$ Then from  $\sum M_R = Fs$ .  $\sum M_D$ 

$$F_{s} = \frac{\sum [(W + V_{f} - ub) \tan \varphi' + c'b] \cdot 1/m_{\alpha}}{\sum [W \sin \alpha + V_{f} \sin \alpha - \frac{H_{f} y_{s}}{R} + 0.5kW(\cos \alpha + y_{s}/R)]}$$
$$m_{\alpha} = \cos \alpha (1 + \tan \varphi' \tan \alpha / F_{s})$$

As  $F_s$  is in both sides an initial value is given and the final value is found iteratively.

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Discretization and width of slicces

A special method is used to discretize the domain. It is a method introduced by the author and can be found in

"RASTER SCAN ALGORITHMS FOR ZONING OF SOIL MEDIA FOR SLOPE STABILITY ANALYSIS" M.Sc. Thesis, Feb 2004 University of Baghdad by Ghassan Ali Abdul-Hassan

The width of slices is chosen as 0.25m.

Seepage analysis may be performed using the same method but as the core is the main cut-off the water flow may be estimated also compared with monitoring system records of pore water pressure.



Seismic Coefficients

The equations shown were for a horizontal acceleration. Similar equations are derived for vertical acceleration.

The values for  $k_h$  and  $k_v$  were taken as 0.15 and 0.1 respectively

- This will put the dam under an earthquake intensity VIII-IX on modified Mercalli scale (corresponding to a magnitude of 5.5 to 6 in Richter scale).
- For comparison purposes value for  $k_h$  of 0.25 as very extreme case was also considered.



Hydrodynamic effects on the water pressure

The hydrodynamic pressure is approximated as

 $u_{hydrodynamic} = u_{hydrostatic} \left(1 \pm k_w\right)$ 

Where  $k_w$  is a seismic coefficient that is found by hydrodynamic analysis to approximate the water pressure distribution during earthquake. The plus or minus sign should be chosen to give the worst case  $F_s$ 

FEM was used by Dr. Rafaa Al-Suhaily in 1999 for the values of horizontal acceleration and vertical acceleration close to the above..

Zangar 1952 method was also used to decide what should be used in the analysis as  $k_w$ 

# 

### Zangar Method



Comparison of experimental (solid line) and empirical (dashed line) pressure distribution Zangar (1952).

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# Values comparing Zangar and FEM of Al-Suhaily at CH.3200

The FEM can handle multi surface angles with depth while Zangar is for one slope for the dam surface.. Both theories assume solid dam surface.. Slope for the top part of the dam body is the worst case and it is around 1:2.5

The value of  $k_w$  used in our analysis was 0.04 and 0.08 to count for nonlinearity effect.

у/Н	Kw FEM	Kw Zangar
0.14	0.120	0.086
0.28	0.090	0.076
0.43	0.076	0.063
0.57	0.037	0.053
0.71	0.034	0.047
0.85	0.029	0.040
1.00	0.027	0.036

y is measured depth from surface of water in the lake

H is the depth of water from +325 to the lakebed which is at 245,265,265 and 273 for Ch 3200, 3000, 2700 and 2400 respectively corresponding to H values of 90m, 70m, 70m and 62m respectively.



Sections in this study

Ch. 3200, Ch.3000, Ch. 2700, Ch.2400

Top center of the dam 0,400

Water level full at +330

Water level empty reservoir +283 (dead storage level)

Water level at the toe side +256

Search steps 1mx1m and radius steps 5m



### Ch 3200 reservoir full - upstream



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### Downstream



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### Reservoir empty - upstream



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Soil and rock properties used in the analysis

Obtained from Dam documents (refer also to Suhail A.A. Khattab, 2008, Stability Analysis of Mosul Dam under Saturated and Unsturated Soil Conditions", Al-Rafidain Engineering)

Parameter	Unit	Shell	Filter	Core
Dry density	kN/m <sup>3</sup>	19.5	19.5	17.88
Natural density	kN/m <sup>3</sup>	20.5	20.5	21.3
L.L	-	-	-	38
Angle of internal friction	Deg.	37	37	29.5
Cohesion (c)	kN/m <sup>2</sup>	0	0	26
Permeability	m/sec	$1.69*10^{-5}$	$1.69*10^{-3}$	$3.5*10^{-11}$
Poisson ratio	-	0.25	0.25	0.35
Modules of elasticity	kN/m <sup>2</sup>	69000	69000	7616

As the dam body is the target of the study, for the bedrock, high strength parameters were used and permeability of 10<sup>-7</sup> assuming that the curtain wall efficiency is adequate



## Results

# For the four sections analyzed the minimum factors of safety were as follows

		Case: up Trial circl Water le	stream - fu le x=300 y= vel 330	ll reservoir 0 R=50m			
Ca	ase	Static	Horizontal			vertical up	vertical down
	k	0	0.1	0.15	0.25	0.1	0.1
	0	1.506	1.219	1.104	0.917		
	0	1.586	1.325	1.226	1.062		
kw	0.04		1.116	1.009	0.834		
KW	0.04		1.206	1.115	0.968		
	0.09		1.018	0.916	0.753	1.079	1.724
	0.08		1.089	1.008	0.873		
		Case: up Trial circl Water le	stream - fu e x=300 y= vel 325	ll reservoir 0 R=50m			
Ca	ase	Static	Horizontal		vertical up	vertical down	
	k	0	0.1	0.15	0.25	0.1	0.1
	0	1.582	1.259	1.133	0.93		
	0	1.753	1.451	1.327	1.142		
kuu	0.04		1.168	1.049	0.859		
ĸw	0.04		1.344	1.229	1.054		
	0.00		1.079	0.968	0.789		

		Case: ups Water lev	tream - em vel 283	pty reservo	bir					
Ca	Case Failure Circle		Static	Horizontal			Vertical	Vertical down		
Chainage	Method	Х	Y	R	k=0	k=0.1	k=0.15	k=0.25	k=0.1	k=0.1
2200	S	60	60	50	2.107	1.345	1.127	0.838	2.115	2.294
3200	В	80	80	10	3.172	2.156	1.852	1.433		
2000	S	130	130	50	1.95	1.269	1.068	0.796	1.803	2.068
3000	В	120	120	50	3.774	2.424	2.05	1.556		
2700	S	140	140	40	1.944	1.243	1.04	0.778	1.793	2.067
2700	В	130	130	40	2.963	1.993	1.706	1.313		
2400	S	150	150	30	2.614	1.474	1.187	0.832	2.591	2.643
2400	В	160	160	40	3.124	2.097	1.788	1.386		

	Case: dov	vnstream				
	Trial circl	e x=500 y=-	80 R=120m	ı		
	Water lev	vel 256 Cha	inage 3200			
9360	Static		Horizontal		vertical	vertical
Case	Static		nonzontai		up	down
k	0	0.1	0.15	0.25	0.1	0.1
Swedish Fs	2.635	2.179	1.996	1.693		

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1.235

1.135

0.975

0.08



### Conclusions from the first study

#### Static Conditions

• All cases studied revealed high factors of safety

#### Pseudo dynamic analysis for seismic conditions

- Emptied reservoir cases had good factors of safety
- Vertical acceleration effect on stability was negligible
- Swedish circle method yielded low Fs values in full reservoir conditions for 330 and 325 water levels. Bishop method gave higher FS values but for kw=0.08 and peak acceleration of 0.25g both methods failed to show satisfactory FS values.

#### **Recommended**

- If acceleration of 0.25g is expected the reservoir should not get filled higher than 320. At acceleration values of 0.15 and lower the reservoir may be considered safe even at 330 W.L.
- The results of more comprehensive study using Slide2 software will give us a better idea.
- Comprehensive program to obtain updated soil properties is highly recommended and the testing should be carried out in all parts of the dam body and the foundation.
- New monitoring system records to be published or at least be available for researchers to calibrate their models.



### Application of *Slide2* software



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### Seepage Analysis using FEM



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### **Transient Groundwater Flow**



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### Results



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## Multiple Methods and Multiple Senarios

Methods Groundwater Transient Seismic Statistics Random Numbers Design Standard Advanced	<ul> <li>Vertical Slices</li> <li>Sarma Non-Vertical Slices</li> <li>Methods</li> <li>Bishop simplified</li> <li>Corps of Engineers #1</li> <li>Corps of Engineers #2</li> <li>GLE/Morgenstern-Price</li> <li>Janbu simplified</li> <li>Janbu corrected</li> <li>Lowe-Karafiath</li> </ul>	Convergence Options Number of slices: 50  Tolerance: 0.005 Maximum iterations: 75 Interslice force function Half Sine Change
	<ul> <li>□ Ordinary/Fellenius</li> <li>☑ Spencer</li> <li>□ Sarma</li> </ul>	

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