Dr. Ana Elisa Cascão APSU Conference for Dam Safety Mosul, 13-14 November 2021 Transboundary dimensions of Dam Safety & Coordination: Is cooperation possible?

Dam Safety & Coordination

What is the state of the affairs?

- Single dam or Multiple dams in same river system
- Different purposes/uses/allocations
- Different countries
- Size matters!

Dams with transboundary nature or relevance

- Technical complexity: it that can be addressed
- Great political complexity: which represents obstacles
- Legal/institutional: challenges but also opportunities

Coordination/Cooperation on multiple levels needed

Cooperation Pillars for infrastructure coordination



this applies to both national and transboundary

Zambezi Basin: bilateral & multilateral approaches



Multiple dams in same river system

Hydropower dams Kariba Dam (Zimbabwe/Zambia) Cahora Bassa (Mozambique)

Size matters!

Bilateral arrangements – ZRA Multilateral arrangements - ZAMCOM

Coordination/Cooperation exists

Zambezi Dams: Coordination main institutions & mechanisms

Bilateral	Multilateral
Legal and institutional	Legal and institutional
1958 Kariba Dam (border dam)	2002-2004: Negotiations for Zambezi River Commission (ZAMCOM) Agreement
1987 Zambezi River Authority (ZRA) Parallel/bilateral legislation/acts +.Power corporation operators	2014: ZAMCOM established as an River Basin Organization (RBO) Note: Zambia (upstream): had reservations, but still an observer
Mandate: Dam Operation, Performance and Maintenance of the Kariba Dam, and any future bilateral infrastructure	Mandate: it provides specialized technical and other support to all basin countries, but not an infrastructure implementing agency
Main tools: Safety Monitoring; Measuring strategic stations; Information collection and sharing (timely) >>> Responses to crisis in extreme cases	Main tools: River Information System /Hydromet; Emergency Preparedness Plan / Disaster risk management; Decision Support System; Climate Change Monitoring
Critical issues	Critical issues
Critical issues Critical Dam Safety issues (from 2008) Rehabilitation Project (2015)	Critical issues Dam cascade / coordination at basin level (countries/operators) High risk: Cahora Bassa Dam security (1974) and recurrent extreme floods
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Nile Basin: bilateral, trilateral & multilateral approaches



Multiple Dams (in all NileS)

Two large-scale dams + some medium/small scale Hydropower & Irrigation uses: HAD (main user), Roseires, Merowe

Game-changer: Grand Ethiopian Renaissance Dam (GERD) since 2011

Size matters!

EGY-SUD Bilateral Agreement (volumetric allocations) No legal/institutional basin-wide agreement adopted (yet)

Multilateral technical cooperation - 20 years under the NBI

Coordination/Cooperation is still limited and extremely complex

Bilateral (Egypt/Sudan)	Multilateral (Nile Basin)
Legal and institutional	Legal and institutional
1959 Nile Waters Agreement + Permanent Technical Joint Committee (PTJC)	1999: Nile Basin Initiative (NBI) 1997-2010: Negotiations for a basin-wide legal Framework Agreement
Cascade of Dams 1971 High Aswan Dam 1970s Roseires Dam ++ 1997 Toshhka Canal/Project 2009 Merowe Dam	Mandate: promote socio-economic development through the management <u>and development</u> of the shared Nile water resources High Expectation: to increase investment in hydraulic infrastructures, in particular in upstream countries
 Mandate/Functions Management and operation of dams Water allocations (per country + evapotranspiration) Dam safety issues are not directly tackled 	20 Years of Knowledge and Strategic Tools DSS, Hydromet, Strategic Analysis, Early Warning Systems, *** Dam Safety Programme; Reservoirs Operations and Coordination
Critical issues	Critical issues
Critical issues Full allocation of all Nile water resources (basin closure)	Critical issues Upstream countries claim the right to use and develop the Nile waters
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Critical issues Full allocation of all Nile water resources (basin closure) High intra- and inter-annual climate variability Recurrent floods and droughts every decade 2011 Grand Ethiopian Renaissance Dam (GERD) >>>> outside of the bilateral arrangements Key considerations Upstream development is seen as a 'threat' to the current arrangements	Critical issues Upstream countries claim the right to use and develop the Nile waters Upstream infrastructures have a large potential to mitigate future Climate Change impacts – IF coordination is in place GERD: Hydropower dam / / But possible Impacts/Risks on operation of downstream dams (Roseires; HAD) Key considerations Basin-wide approach has partially failed because of political barriers

Nile Dams: Coordination main institutions & mechanisms

DAMSAFETY: COORDINATED OPERATION OF TRANSBOLINDARY CASCADEDAWS

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extremes

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strategies

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+ Extreme floods + Prolonged droughts ACTION 00

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Grand Ethiopia Renaissance Dam (GERD) Reservoir, 2021

GERD & downstream dams: Main bottlenecks



- Dams Safety (mainly for Sudan)
- Dam Coordination issues (tri and/or bi?)
- Technical solutions for water releases Exist
- No legal arrangement / No guidelines agreed
- No institutional setting
- Construction/Filling continues

Major issues (with or without agreement): Regular Data-Sharing and Monitoring

Transboundary dams: Major controversial issues

National plans, national dams, national interests

Right dams in the right (more efficient) places?

Transboundary: Impacts in other riparians?

Upstream-Downstream-Upstream dilemmas

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Cooperation or No-Cooperation: Baseline and Scenarios? Dams: Unilateral management or joint coordination?

Dams are political: National sovereignty is a major issue

Dams are geopolitical: Water just one element in inter-state relations

Thanks for your attention